

NEW APPLICATION NEW

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EXECUTIVE DIRECTOR



0000027641

1300 West Washington, Third Floor
Phoenix, AZ 85007
TELEPHONE: (602) 542-4242
FAX: (602) 594-7470
E-MAIL: securitiesdiv@azcc.gov

ARIZONA CORPORATION COMMISSION

ORIGINAL

MEMORANDUM

TO: Kathy Stone
Docket Control

FROM: Abby Henig *AH*
Securities Division

DATE: November 3, 2005

RS-00000A-05-0809

RE: Docket number for amendments to A.A.C. R14-6-206 and 14-6-101

AZ CORP COMMISSION
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Please issue a docket number for proposed amendments to A.A.C. R14-6-206 ("rule 206") and A.A.C. R14-6-101 ("rule 101"). Rule 206 regulates the custody practices of investment advisers. Rule 101 sets forth the definitions applied to all rules promulgated under A.R.S. Title 44, Chapter 13.

The distribution list for this docket should include:

Matthew Neubert, Director Securities Division
Abby Henig, Assistant General Counsel Securities Division

Thank you. If you need additional information, please do not hesitate to telephone me at 602 542-0187.